

12-27-01

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PTO/SB/05 (03-01)

Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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UTILITY PATENT APPLICATION TRANSMITTAL (Only for new nonprovisional applications under 37 CFR 1.53(b))	Attorney Docket No.	NTL-3.2.163/3604 (13398ROUS02U)
	First Inventor	David J. Evans
	Title	Method and Apparatus For Finding Variable Length Data patterns Within a Data Stream
	Express Mail Label No.	EL781389260US

10/026206
1997 U.S. Pat. & Tm. Off. Form 3666

12/21/01

APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents.	ADDRESS TO: Assistant Commissioner for Patents Box Patent Application Washington, DC 20231
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1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(submit an original, and a duplicate for fee processing)

2. ☐ Applicant claims small entity status.
See 37 CFR 1.27

3. ☒ Specification Total Pages [15]
(preferred arrangement set forth below)

- Descriptive title of the invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R&D
- Reference to sequence listing, a table, or a computer program listing appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

4. ☒ Drawing(s) (35 U.S.C. 113) Total Sheets [5]

Oath or Declaration Total Pages [2]

a. ☒ Executed (original or copy)

b. ☐ Copy from a prior application (37 CFR § 1.63(d)
(for continuation/divisional with Box 18 completed)

i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR §§ 1.63(d)(2) and 1.33(b).

6. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or computer Program (Appendix)

8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)

a. ☐ Computer Readable Form (CRF)

b. ☐ Specification Sequence Listing on:

- i. ☐ CD-ROM or CD-R (2 copies); or
- ii. ☐ paper

c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☒ Assignment Papers (cover sheet & document(s))

10. ☐ 37 C.F.R. §3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)

11. ☐ English Translation Document (if applicable)

12. ☐ Information Disclosure Statement (IDS)PTO-1449 ☐ Copies of IDS Citations

13. ☐ Preliminary Amendment

14. ☒ Return Receipt Postcard (MPEP 503)
(should be specifically itemized)

15. ☐ Certified Copy of Priority Document(s)
(If foreign priority is claimed)

16. ☐ Nonpublication Request under 35 USC 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.

17. ☒ Other: Check in the amount \$900.00

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) or prior application No.: _____ / _____

Prior application information: Examiner: _____ Group Art Unit: _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label or ☒ Correspondence address below

Name and Address: **COBRIN & GITTES, 750 Lexington Avenue, 21 floor, New York, New York 10022**
(212) 486-4000 - (212) 486-4007 facsimile

Name (print): Richard M. Lehrer	Registration Number (Attorney/Agent): 38,536
Signature: <i>Richard M. Lehrer</i>	Date: December 21, 2001

**FEE TRANSMITTAL
for FY 2002***Patent fees are subject to annual revision.***Complete if Known**

Application Number to be assigned

Filing Date concurrently herewith

First Named Inventor David J. Evans

Examiner Name

Group/Art Unit

TOTAL AMOUNT OF PAYMENT (\$ 900.00)

Attorney Docket No. NTL-3.2.163/3604 (13398ROUS02U)

METHOD OF PAYMENT (check one)**FEE CALCULATION (continued)**1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number: [03-2317]

Deposit Account Name: [COBRIN & GITTES]

☒ Charge any additional fee required under 37 CFR 1.16 and 1.17☐ Applicant claims small entity status. See 37 CFR 1.272. ☒ Payment Enclosed:☒ Check ☐ Money Order ☐ Other**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity		Small Entity		Fee Description	Fee Paid
Code	(\$)	Code	(\$)		
101	740	201	370	Utility filing fee	\$740.00
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	
SUBTOTAL (2)					\$740.00

2. EXTRA CLAIM FEES

Large Entity		Small Entity		Extra Claim	Fee below	Fee Paid
Total Claims						
22	-20 =	2	x	18.00	\$36.00	
Indep. Claims	4	-3 =	1	x	84.00	\$84.00
Multiple Dependent						

**** or number previously paid, if greater; for reissues, see below**

Large Entity		Small Entity		Fee Description	Fee Paid
Code	(\$)	Code	(\$)		
103	18	203	9	Claims in excess of 20	
102	84	202	42	Independent claims in excess of 3	
104	280	204	140	Multiple dependent claim, if not paid	
109	84	209	42	**Reissue independent claims over original patent	
110	18	210	9	**Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)					(\$120.00

****or number previously paid, if greater; For Reissues, see above*****Reduced by Basic filing fee Paid****SUBTOTAL (3)**

\$40.00

SUBMITTED BY**Complete (if applicable)**

Name (Print Type)

Richard M. Lehrer

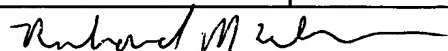
Registration Number

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Telephone

(212) 486-4000

Signature



Date

December 21, 2001

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Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SENT TO: Assistant Commissioner for Patents,